



IFW

PATENT

Case Docket No. GLAUKO.1CP1C1
Date: September 13, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hosheng Tu et al.
Appl. No. : 10/824,052
Filed : April 24, 2004
For : GLAUCOMA TREATMENT DEVICE
Examiner : Unknown
Group Art Unit : 3763

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 13, 2004

(Date)

James W. Hill, M.D., Reg. No. 46,396

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing two hundred nineteen (219) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

James W. Hill, M.D.
Registration No. 46,396
Attorney of Record
Customer No. 20,995
(949) 760-0404



INFORMATION DISCLOSURE STATEMENT

Applicant : Hosheng Tu et al.
App. No. : 10/824,052
Filed : April 14, 2004
For : GLAUCOMA TREATMENT DEVICE
Examiner : Unknown
Group Art Unit : 3763

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

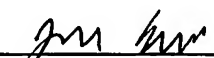
Enclosed is form PTO-1449 listing 216 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 9/13/04

By: 

James W. Hill, M.D.
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
GLAUKO.1CP1C1APPLICATION NO.
10/824,052INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Hosheng Tu et al.FILING DATE
April 14, 2004GROUP
3763

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	DES 490,152	05/18/04	Myall et al.			
	2	RE35,390	12/03/96	Smith			
	3	3,788,327	01/29/74	Donowitz et al.			
	4	4,037,604	07/26/97	Newkirk			
	5	4,168,697	09/25/79	Cantekin			
	6	4,175,563	11/27/79	Arenberg et al.			
	7	4,402,681	09/06/83	Haas et al.			
	8	4,428,746	01/31/84	Mendez			
	9	4,501,274	02/26/85	Skjaerpe			
	10	4,521,210	06/04/85	Wong			
	11	4,554,918	11/26/85	White			
	12	4,604,087	08/05/86	Joseph			
	13	4,632,842	12/30/86	Karwoski et al.			
	14	4,634,418	01/06/87	Binder			
	15	4,718,907	01/12/88	Karwoski et al.			
	16	4,722,724	02/02/88	Schocket			
	17	4,733,665	03/29/88	Palmaz			
	18	4,750,901	06/14/88	Molteno			
	19	4,787,885	11/29/88	Binder			
	20	4,804,382	02/14/89	Turina et al.			
	21	4,820,626	04/11/89	Williams et al.			
	22	4,846,172	07/11/89	Berlin			
	23	4,863,457	09/05/89	Lee			
	24	4,886,488	12/12/89	White			
	25	4,900,300	02/13/90	Lee			
	26	4,936,825	06/26/90	Ungerleider			

EXAMINER

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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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	27	4,946,436	08/07/90	Smith			
	28	4,968,296	11/06/90	Ritch et al.			
	29	5,041,081	08/20/91	Odrich			
	30	5,073,163	12/17/91	Lippman			
	31	5,092,837	03/03/92	Ritch et al.			
	32	5,095,887	03/17/92	Leon et al.			
	33	5,127,901	07/07/92	Odrich			
	34	5,129,895	07/14/92	Vassiliadis et al.			
	35	5,171,213	12/15/92	Price, Jr.			
	36	5,178,604	01/12/93	Baerveldt et al.			
	37	5,180,362	01/19/93	Worst			
	38	5,246,451	09/21/93	Trescony et al.			
	39	5,290,295	03/01/94	Querals et al.			
	40	5,300,020	04/05/94	L'Esperance, Jr.			
	41	5,318,513	06/07/94	Leib et al.			
	42	5,334,137	08/02/94	Freeman			
	43	5,338,291	08/16/94	Speckman et al.			
	44	5,346,464	09/13/94	Camras			
	45	5,360,399	11/01/94	Stegmann			
	46	5,370,607	12/06/94	Memmen			
	47	5,370,641	12/06/94	O'Donnell, Jr.			
	48	5,372,577	12/13/94	Ungerleider			
	49	5,397,300	03/14/95	Baerveldt et al.			
	50	5,433,701	07/18/95	Rubinstein			
	51	5,454,796	10/03/95	Krupin			
	52	5,472,440	12/05/95	Beckman			

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	53	5,476,445	12/19/95	Baerveldt et al.			
	54	5,486,165	01/23/96	Stegmann			
	55	5,516,522	05/14/96	Peyman et al.			
	56	5,520,631	05/28/96	Nordquist et al.			
	57	5,557,453	09/17/96	Schalz et al.			
	58	5,558,629	09/24/96	Baerveldt et al.			
	59	5,558,630	09/24/96	Fisher			
	60	5,562,641	10/08/96	Flomenblit et al.			
	61	5,601,094	02/11/97	Reiss			
	62	5,601,549	02/11/97	Miyagi			
	63	5,626,558	05/06/97	Suson			
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	65	5,639,278	06/17/97	Dereume et al.			
	66	5,651,783	07/29/97	Reynard			
	67	5,665,114	09/09/97	Weadock et al.			
	68	5,670,161	09/23/97	Healy et al.			
	69	5,676,679	10/14/97	Simon et al.			
	70	5,681,275	10/28/97	Ahmed			
	71	5,702,414	12/30/97	Richter et al.			
	72	5,702,419	12/30/97	Berry et al.			
	73	5,704,907	01/06/98	Nordquist et al.			
	74	5,713,844	02/03/98	Peyman			
	75	5,723,005	03/03/98	Herrick			
	76	5,741,333	04/21/98	Frid			
	77	5,743,868	04/28/98	Brown et al.			
	78	5,752,928	05/19/98	De Roulhac et al.			

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	79	5,766,243	06/16/98	Christensen et al.			
	80	5,785,674	07/28/98	Mateen			
	81	5,807,302	09/15/98	Wandel			
	82	5,810,870	09/22/98	Myers et al.			
	83	5,830,139	11/03/98	Abreu			
	84	5,830,171	11/03/98	Wallace			
	85	5,836,939	11/07/98	Negus et al.			
	86	5,865,831	02/02/99	Cozean et al.			
	87	5,868,697	02/09/99	Richter et al.			
	88	5,879,319	03/09/99	Pynson et al.			
	89	5,882,327	03/16/99	Jacob			
	90	5,886,822	03/23/99	Spitzer			
	91	5,893,837	04/13/99	Eagles et al.			
	92	5,908,449	06/01/99	Bruchman et al.			
	93	5,932,299	08/03/99	Katoot			
	94	5,968,058	10/19/99	Richter et al.			
	95	5,981,598	11/09/99	Tatton			
	96	6,004,302	12/21/99	Brierley			
	97	6,007,510	12/28/99	Nigam			
	98	6,007,511	12/28/99	Prywes			
	99	6,033,434	03/07/00	Borghini			
	100	6,045,557	04/04/00	White et al.			
	101	6,050,970	04/18/00	Baerveldt			
	102	6,059,772	05/09/00	Hsia et al.			
	103	6,063,116	05/16/00	Kelleher			
	104	6,063,396	05/16/00	Kelleher			

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	105	6,059,812	05/09/00	Clerc et al.			
	106	6,071,286	06/06/00	Mawad			
	107	6,077,299	06/20/00	Adelberg et al.			
	108	6,102,045	08/15/00	Nordquist et al.			
	109	6,142,990	11/07/00	Burk			
	110	6,165,210	12/26/00	Lau et al.			
	111	6,168,575	01/02/01	Soltanpour			
	112	6,174,305	01/16/01	Mikus et al.			
	113	6,187,016	02/13/01	Hedges et al.			
	114	6,193,656	02/27/01	Jeffries et al.			
	115	6,197,056	03/06/01	Schachar			
	116	6,203,513	03/20/01	Yaron et al.			
	117	6,228,873	05/08/01	Brandt et al.			
	118	6,231,597	05/15/01	Deem et al.			
	119	6,241,721	06/05/01	Cozean et al.			
	120	6,254,612	07/03/01	Hieshima			
	121	6,266,182	07/24/01	Morita			
	122	6,268,398	07/31/01	Ghosh et al.			
	123	6,342,058	01/29/02	Portney			
	124	6,375,642	04/23/02	Grieshaber et al.			
	125	6,428,501	08/06/02	Reynard			
	126	6,450,984	09/17/02	Lynch et al.			
	127	6,464,724	10/15/02	Lynch et al.			
	128	6,524,275	02/25/03	Lynch et al.			
	129	6,533,768	03/18/03	Hill			

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	130	6,544,249	04/08/03	Yu et al.			
	131	6,585,680	07/01/03	Bugge			
	132	6,626,858	09/30/03	Lynch et al.			
	133	6,629,981	10/07/03	Bui et al.			
	134	6,638,239	10/28/03	Bergheim et al.			
	135	6,666,841	12/23/03	Gharib et al.			
	136	6,699,211	03/02/04	Savage			
	137	6,736,791	05/18/04	Tu et al.			
	138	6,780,165	08/24/04	Bergheim et al.			
	139	2002/0133168	09/12/02	Smedley et al.			
	140	2002/0143284	10/03/02	Tu et al.			
	141	2002/0169130	11/14/02	Tu et al.			
	142	2002/0188308	12/12/02	Tu et al.			
	143	2003/0009124	01/09/03	Lynch et al.			
	144	2003/0055372	03/20/03	Lynch et al.			
	145	2003/0060752	03/27/03	Bergheim et al.			
	146	2003/0069637	04/10/03	Lynch et al.			
	147	2003/0088260	05/08/03	Smedley et al.			
	148	2003/0097151	05/22/03	Smedley et al.			
	149	2003/0120200	06/26/03	Bergheim et al.			
	150	2003/0181848	09/25/03	Bergheim et al.			
	151	2003/0187384	10/02/03	Bergheim et al.			
	152	2003/0187385	10/02/03	Bergheim et al.			
	153	2003/0220602	11/27/03	Lynch et al.			
	154	2003/0220603	11/27/03	Lynch et al.			

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	155	2003/0229303	12/11/03	Haffner et al.			
	156	2003/0236484	12/25/03	Lynch et al.			
	157	2004/0024345	02/05/04	Gharib et al.			
	158	2004/0050392	03/18/04	Tu et al.			
	159	2004/0102729	05/27/04	Haffner et al.			
	160	2004/0111050	06/10/04	Smedley et al.			
	161	2004/0127843	07/01/04	Tu et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	162	200072059 A1	12/06/00	Australia				
	163	2,244,646	08/11/98	Canada				
	164	0 858 788 A1	08/19/98	Europe				
	165	0 898 947 A2	03/03/99	Europe				
	166	1 114 627 A1	11/30/00	Europe				
	167	2 710 269	09/22/93	France				
	168	198 40 047 A1	03/23/00	Germany				
	169	11-123205	1/105/99	Japan				
	170	WO 89/00869	02/09/89	PCT				
	171	WO 91/18568	12/12/91	PCT				
	172	WO 92/19294	11/12/92	PCT				
	173	WO 94/13234	06/23/94	PCT				
	174	WO 94/21205	09/29/94	PCT				
	175	WO 95/08310	03/30/95	PCT				

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							YES	NO
	176	WO 98/30181	01/12/98	PCT				
	177	WO 98/35639	08/20/98	PCT				
	178	WO 99/26567	06/03/99	PCT				
	179	WO 99/30641	06/24/99	PCT				
	180	WO 99/38470	08/05/99	PCT				
	181	WO 00/13627	03/16/00	PCT				
	182	WO 00/64389	04/26/00	PCT				
	183	WO 00/64390	04/26/00	PCT				
	184	WO 00/64391	04/26/00	PCT				
	185	WO 00/64393	11/02/00	PCT				
	186	WO 00/72788	12/07/00	PCT				
	187	WO 01/50943	07/19/01	PCT				
	188	WO 01/78631	10/25/01	PCT				
	189	WO 01/78656	10/25/01	PCT				
	190	WO 03/015659	02/27/03	PCT				
	191	WO 03/073968	09/12/03	PCT				
	192	2 296 663 A	07/10/96	United Kingdom				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	193	PCT Publication – WO 01/50943, International Publication Date July 19, 2001.
	194	Detlev Spiegel, 7 Chirurgische Glaukomtherapie , pp. 79-88.
	195	L. Jay Katz, M.D., A Call for Innovative Operations for Glaucoma , Arch Ophthalmology, March 2000, vol. 118, pp. 412-413.

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	196	Anselm Kampik and Franz Grehn, Nutzen und Risiken augenärztlicher Therapie, Hauptreferate der XXXIII. Essener Fortbildung für Augenärzte , December 1998. (English translated version enclosed Benefits and Risks of Ophthalmological Therapy.)
	197	Phillip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Krieglstein, MD., Goniocurettage for Removing Trabecular Meshwork: Clinical Results of a New Surgical Technique in Advanced Chronic Open-Angle Glaucoma , American Journal of Ophthalmology, May 1999, pp. 505-510.
	198	Philip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Krieglstein, MD., Bimanual Trabecular Aspiration in Pseudoexfoliation Glaucoma , Ophthalmology , 1998, vol. 105, No. 5, May 1998, pp. 886-894.
	199	Phillip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Krieglstein, MD., Microendoscopic Trabecular Surgery in Glaucoma Management , Ophthalmology , 1999, vol. 106, No. 3, pp. 538-544.
	200	Arthur L. Schwartz, MD, and Douglas R. Anderson, MD, Trabecular Surgery , Arch Ophthalmol, vol. 92, August 1974, pp. 134-138.
	201	R.A. Hill, Q. Ren, D.D. Nguyen, L-H Liaw, and M.W. Berns, Free-Electron Laser (FEL) Ablation of Ocular Tissues , Lasers Med Sci 1998, pp. 13:219-226.
	202	Maurice H. Luntz, MD, and D.G. Livingston, B.SC., Trabeculotomy AB Externo and Trabeculectomy in Congenital and Adult-Onset Glaucoma , American Journal of Ophthalmology, February 1977, vol. 83, No. 2, pp. 174-179.
	203	W.M. Grant, MD, Further Studies on Facility of Flow Through the Trabecular Meshwork , A.M.A. Archives of Ophthalmology, Oct. 1958, vol. 60, pp. 523-533.
	204	Richard A. Hill, MD, George Baerveldt, MD, Serdar A. Ozler, MD, Michael Pickford, BA, Glen A. Profeta, BS, and Michael W. Berns, PhD, Laser Trabecular Ablation (LTA) , Laser in Surgery and Medicine, 1991, vol. 11, pp. 341-346.
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	APPLICANT Hosheng Tu et al.	
	FILING DATE April 14, 2004	GROUP 3763

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